

On the program, vision, and budget for the fusion and plasma sciences

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Presented to the Fusion Energy Sciences Advisory Committee

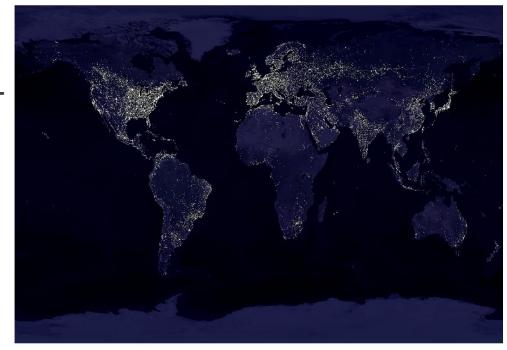
February 28, 2012

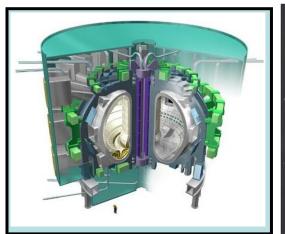


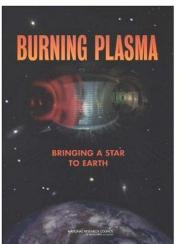
The science at the heart of fusion energy is far-reaching and is poised for a transformation

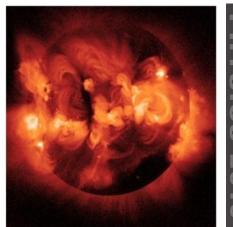
Ambition: Fusion contributes to energy and climate solutions by midcentury

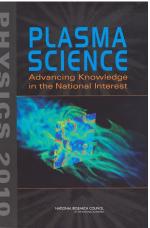
Office of Science role: Establish the plasma sciences broadly for fusion as well as discovery













This proposal was developed with a long-term view for fusion and the plasma sciences, framed by Administration priorities for near-term payoffs

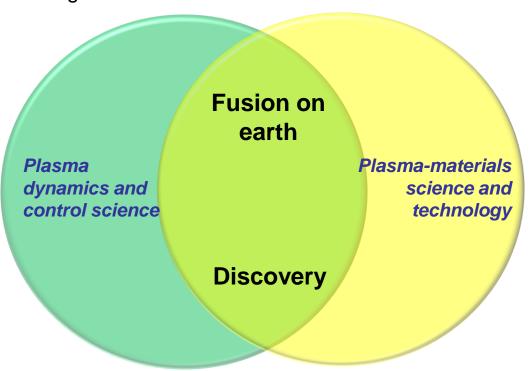
- This budget was developed in part considering the Administration's high priority of investment in research relevant to clean energy with near-term payoff.
- With this as backdrop, the Administration affirms a strong commitment to ITER, recognizing its importance to fusion and potentially to the energy economy in the second half of this century, the U.S.'s leading scientific role in getting us to this point, and international commitments
- Cuts are realized in a large majority of the non-ITER part of the program.
 Exceptions are where modest increases are proposed in international research and materials
- With this proposal, a program structure is maintained that can lead to where we need to be in 10 years



Fusion and plasma science elements are intimately linked

Mission

The mission of the Fusion Energy Sciences (FES) program is to expand the fundamental understanding of matter at very high temperatures and densities and to develop the scientific foundations needed to develop a fusion energy source. This is accomplished by the study of the plasma state and its interactions with its surroundings.



Priorities

- Advance the fundamental science of magnetically confined plasmas for fusion energy
- Pursue scientific opportunities and grand challenges in high energy density plasma science
 - Support the development of the scientific understanding required to design and deploy fusion materials
- Increase the fundamental understanding of plasma science beyond burning plasmas



FES research has been world leading in bringing us to a new era in fusion energy development

- After more than 50 years of research, fusion is ready to embark on the ultimate test determining the scientific and technical viability of fusion on earth.
- ITER is the scientific vehicle for this test. It will enable the study of high gain fusion plasmas, fusion systems that release more energy than is required to initiate and control them.
- The U.S. has had a major, leading role in developing the scientific basis girding ITER, its design, and its operating scenarios.
- The FY'13 budget proposal is for a program that will be highly impactful for ITER construction, fusion research and the plasma sciences overall, preserves a structure that can effectively engage the world in the ITER era, and is fiscally responsible.

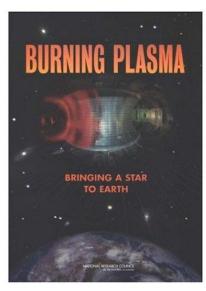


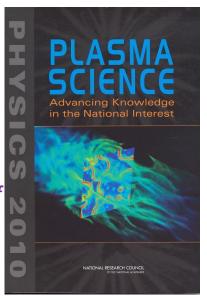
High-level considerations and budget overview



Overarching consideration: where we need to be in ten years

- Total FES budget request is \$398.3M.
 Compare to \$401M appropriated in '12.
 - The U.S. needs to lead in burning plasma science → Support ITER project at \$150M, an increase of \$45M over FY 12. Maintain DIII-D run time with no upgrades at this time. However, the Alcator C-Mod facility will cease operations in FY'13
 - Position the U.S. to assert leadership in present gaps → Modest increases in international opportunities on long-pulse facilities, both tokamak and stellarator. In materials science, continue support of the NSTX Upgrade project and DIII-D to enable an informed decision on an FNSF later this decade, and begin a modest initiative in materials science
 - Steward the broader plasma sciences → Overall FES Program
 structure is maintained as the non-ITER program faces an overall reduction of
 about 16%, including closure of the Alcator C-Mod program. Joint programs
 with NNSA and NSF in non-MFE research are maintained at a reduced level

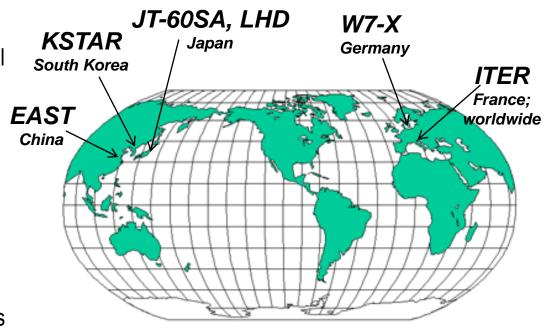






The U.S. fusion program has to evolve if we are to retain a world leadership position in fusion: new capabilities are emerging overseas

- In the next decade:
 - ITER will be constructed, and the frontier of burning plasma science will be there. Liken it to LHC in high energy physics – if the U.S. is not engaged, we will lose out.
 - First-of-a-kind, \$B-class research facilities in magnetic fusion will be on line in Europe and Asia. The class of physics they will enable will include but extend beyond what U.S. facilities are capable of exploring. The U.S. domestic program can and must be sensibly levered to take advantage of these research resources.
 - The U.S. has a leadership opportunity in fusion materials science.

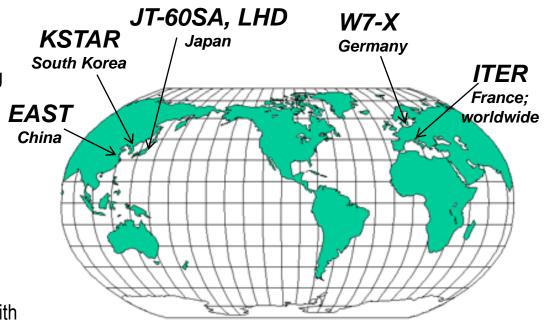


This budget proposal makes steps in engaging these changes in a constrained budgetary environment



A leading challenge is engaging in this new global fusion enterprise in a manner that amplifies U.S. capabilities and brings home benefit

- What we have going our way in this budget that can make this happen
 - A student population of over 400 students
 - Outstanding facilities in DIII-D and NSTX-U
 - Viable core elements elsewhere, with leverage opportunities within the U.S.
 - A clearly defined gap in fusion materials
 - International research relationships at the emergent facilities that are strong and will enable growth
 - Materials science research opportunities with high potential for leverage with BES
- Examples of what we have to overcome
 - Loss of a major facility, Alcator C-Mod, with student education
 - Reductions in effort in nearly every area except international research and materials



- Examples of what we can do to mitigate the effects of the losses
 - Increase student education opportunities at DIII-D and NSTX-U
 - Vigorously develop, and understand limits, of research opportunities overseas
 - Develop leverage with NNSA, BES, and ASCR

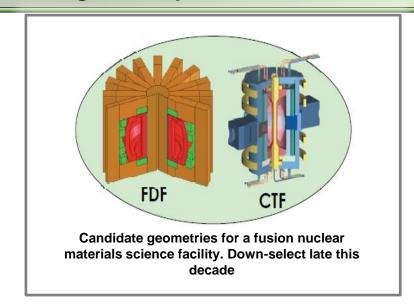


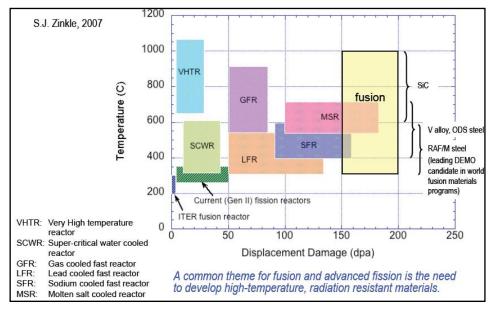
U.S. research has to evolve if we are to retain a world leadership position in fusion: fusion materials science, and extracting fusion power

FESAC "Priorities, Gaps, and Opportunities" report points to fusion materials as the next leading frontier to be mastered in parallel with ITER

To increase our impact in materials science, both nuclear and non-nuclear.

- Lever common interests in MFE, IFE, NE, SC, NNSA
- Complementarity of DIII-D and NSTX-Upgrade will inform the decision on a Fusion Nuclear Science Facility later in this decade.
- Launch a prerequisite computational materials and beam line programs. Strong university role





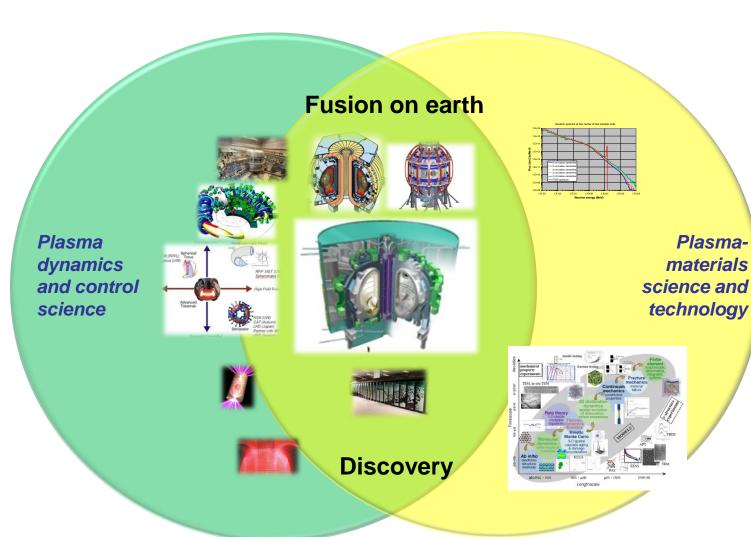


Note, from the narrative:

"The U.S. remains committed to the scientific mission of ITER, while maintaining a balanced research portfolio, and will work with ITER partners to accomplish this goal."



Ultimately, the U.S. fusion's path forward will be expressed in terms of scientific elements and will include changes of emphasis



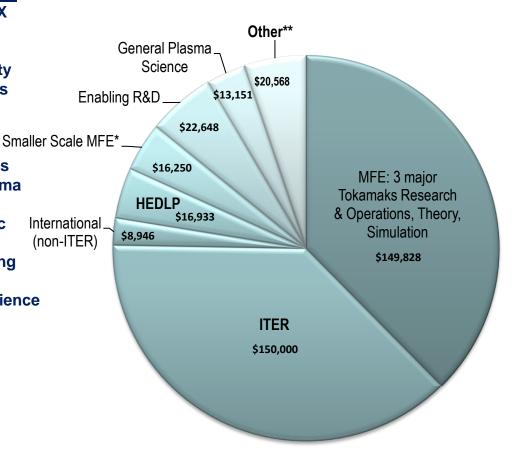
- Burning plasma science and stewarding broader plasma science will be key elements, but program scope may have to be reduced for lower funding level scenarios
- Major domestic facilities
 will still engage in
 plasma dynamics and
 control, but will shift
 focus towards
 challenging metrics
 relevant to fusion
 materials science
- Leverage between domestic and international research opportunities in MFE will become even more important in tough budget times, if the U.S. is to obtain access to the leading scientific questions in the next decade



Office of Science (\$398.324M)



- DIII-D, C-Mod, NSTX
- International Collaborations
- High Energy Density Laboratory Plasmas (HEDLP)
- Outreach and Education
- Validation Platforms (Experimental Plasma Research (EPR))
- Madison Symmetric Torus (MST)
- Theory and Modeling
- SciDAC
- General Plasma Science
- Diagnostics
- SBIR/STTR



Facility Operations: \$221,476M

- ITER at \$150M
- 10 weeks of DIII-D Operations
- 0 run weeks of Alcator C-Mod Operations due to shutdown
- 0 run weeks of NSTX
 Operations due to upgrade
- NSTX Major Item of Equipment (MIE) Upgrade project
- General Plant Projects (GPP)/General Purpose Equipment (GPE)/Infrastructure

Enabling R&D: \$22,648M

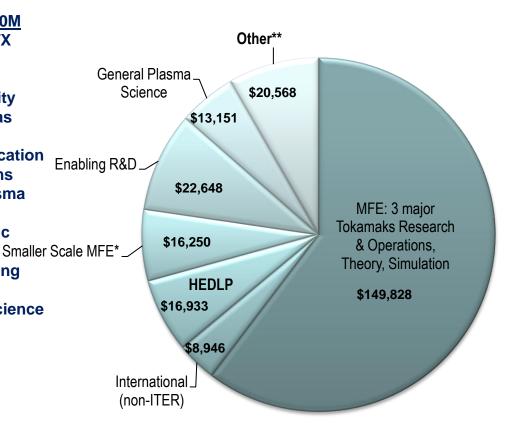
- Plasma Technology
- Advanced Design
- Materials Research



Office of Science (\$398.324M): Non-ITER

Research: \$154,200M

- DIII-D, C-Mod, NSTX
- International Collaborations
- High Energy Density Laboratory Plasmas (HEDLP)
- Outreach and Education
- Validation Platforms (Experimental Plasma Research (EPR))
- Madison Symmetric Torus (MST)
- Theory and Modeling
- SciDAC
- General Plasma Science
- Diagnostics
- SBIR/STTR



Facility Operations: \$71,476M

- 10 weeks of DIII-D Operations
- 0 run weeks of Alcator C-Mod Operations due to shutdown
- 0 run weeks of NSTX
 Operations due to upgrade
- NSTX Major Item of Equipment (MIE) Upgrade project
- General Plant Projects (GPP)/General Purpose Equipment (GPE)/Infrastructure

Enabling R&D: \$22,648M

- Plasma Technology
- Advanced Design
- Materials Research



FY 2013 FES Budget Proposal Summary

Fusion Energy Sciences FY 2013 Congressional Budget

(Budget Authority in thousands)

	FY 2011 Actual	FY 2012 Approp	FY 2013 Request
Science			
DIII-D Research	30,716	30,300	26,703
C-Mod Research	10,056	10,454	8,396
International Research	6,105	7,435	8,946
Diagnostics	4,115	3,519	3,519
Other	8,085	11,919	9,193
NSTX Research	16,107	17,549	16,836
Experimental Plasma Research	17,745	11,000	10,500
HEDLP	25,727	24,741	16,933
MST Research	7,005	6,000	5,750
Theory	25,663	24,348	20,836
SciDAC	7,057	8,312	6,556
General Plasma Science	14,810	16,780	13,151
SBIR/STTR	_	8,326	6,881
Total, Science Research	173,191	180,524	154,200

	FY 2011	FY 2012	
	Actual	Approp	Request
Facility Operations			
DIII-D	35,699	38,319	33,260
C-Mod	17,518	18,067	7,848
NSTX	32,559	32,134	29,393
Other, GPE, and GPP	4,568	975	975
MIE: U.S. Contributions to ITER			
Project	80,000	105,000	150,000
Total, Facility Operations	170,344	194,495	221,476
Enabling R&D			
Plasma Technology	14,501	13,911	11,666
Advanced Design	2,752	4,337	1,611
Materials Research	6,469	7,729	9,371
Total, Enabling R&D	23,722	25,977	22,648
Total, Fusion Energy Sciences	367,257	400,996	398,324



Funds will be available through solicitations in FY2013

Total available in solicitations is \$26M



Labs, universities, and industry R&D groups may compete for all solicitations except:

- NSTX Research—Labs only in FY13
- Theory/Modeling—Universities and industry groups in FY13



On ITER

The driving force

International project construction and status

International commitments

U.S. obligations, US. ITER Project performance, and impact on the international ITER schedule

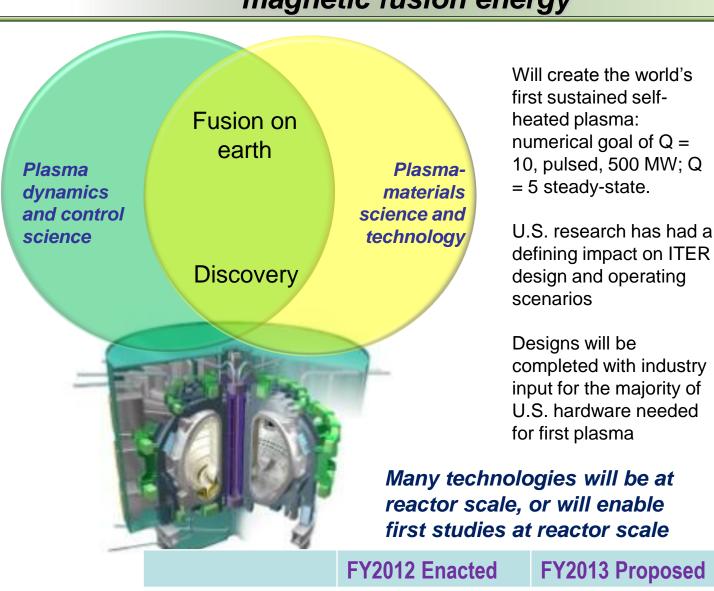


ITER is the keystone for establishing the scientific and technological feasibility of magnetic fusion energy

\$150M

ITER will
advance every
element of the
FES program,
and will be the
world's first
entry into
Burning Plasma
Science

The scientific question of how to optimize the plasma distribution function in a burning plasma is at the center of ITER's plasma science



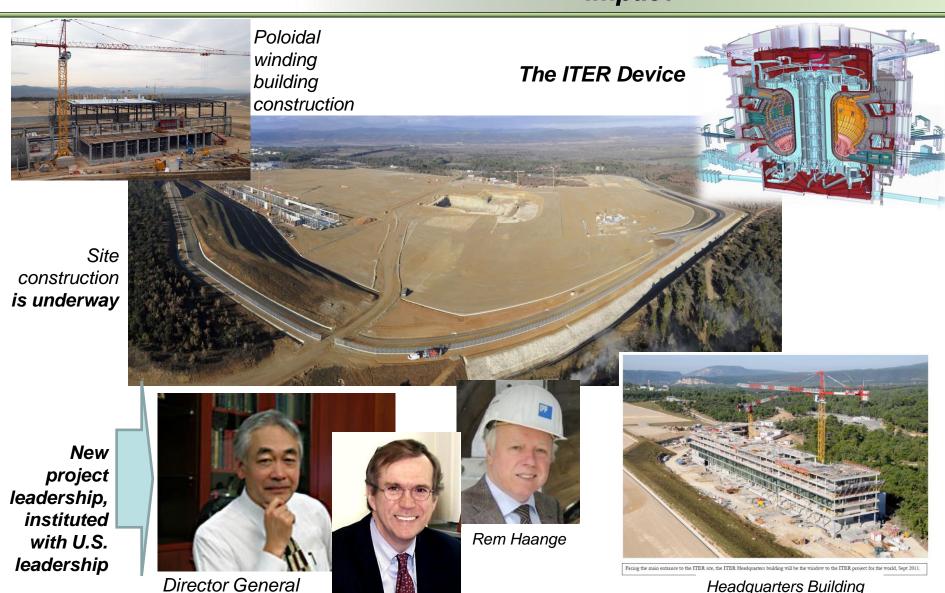
\$105M

ITER Project



Motojima

International Organization management changes have made an enormous positive impact



Rich Hawryluk (



Overarching considerations regarding ITER

"Why does the ITER project need to grow in such a challenging budget?"

- ITER is the capstone of over 50 years of research in magnetic fusion. This time is critical for its success, and for fusion's success
- The project is moving out smartly in construction, and the U.S. needs to keep pace to the best of its ability. Now and the coming years is when contracts need to be placed so that ITER construction can be completed on time.
- The U.S. is at the very edge of having a negative impact on the international schedule at a time when the other Members have demonstrated extraordinary commitment. Further reductions in the U.S. ITER budget will yield an international schedule slip with unpredictable consequences on the political front, and will add to costs for everyone.



The demonstration of international commitment to ITER during extraordinary times has been exceptionally strong

Member	Comments
China	Highly committed to ITER and fusion overall. Their plan to aggressively invest in fusion requires ITER success, including schedule success. Expressed interest in fusion comes from highest levels of government, including a visit by China's President and Vice Premier to their leading fusion laboratory.
European Union	They are in for 45% of the cost. The EU recently committed to \$1.3B additional Euro of ITER funding to a total of \$2B Euros over the next two years, despite extraordinary financial times. They have recently forged a deal with JA to trade in-kind contribution obligations to help entire project stay on schedule discussions.
India	They fight hard every year for their budget in a complex process, but outward indications of support are very strong. They see ITER is a vehicle for advancing their whole fusion R&D enterprise.
Japan	Their FY'12 funding of \$224M has been approved. This is more than a 3-fold increase in funding over FY'11, despite the earthquake and tsunami.
Russia	Cash is in-hand, and they are eager to spend and get on with the project fully and to stay on schedule
South Korea	Strong commitment; Eager to demonstrate industrial capabilities. Catalyzing trade of obligations between EU and JA. Strident about sticking to schedule.



A significant portion of U.S. ITER funding is spent with U.S. industry, universities, and national labs

Funding in FY12 will be utilized to:

- allow the US to progress as needed to remain a viable partner in this collaboration
- retain or create jobs in >300 industries and universities and 8 National Laboratories in 37 states
- provide US industry experience with advanced manufacturing techniques

Funding to DOE Labs

March 2006 - December 2011

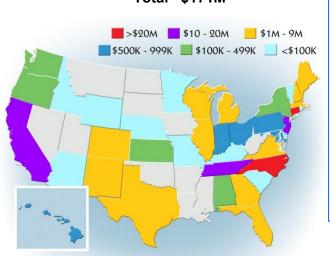
Total ~\$168M



Funding to Industries and Universities

March 2006 - December 2011

Total ~\$171M



Attracting business

- The EU has let a contract to Oxford Instruments for its superconducting strand (\$58M; New Jersey)
- Luvata Connecticut s supplying US TF strand to the EU (\$26M)





An understandable concern is: can the U.S. program be impactful with the proposed reductions in the non-ITER budget, and are we positioning ourselves to get good scientific return during the ITER era?

The view of FES and Office of Science regarding these questions is "yes," but there is loss, and near-term choices will be important



DIII-D has had an enormous influence on establishing a scientific understanding and optmization of magnetically confined plasmas

General Atomics, La Jolla, CA

> electron heating simulates alpha heating alpha-induced MHD. ITER-like geometry

Plasma dynamics and control science

> fundamentals of plasma transport MHD, and waves

Fusion on earth

Discovery

excellent measurements at plasma –wall interface region

> Plasmamaterials science and technology

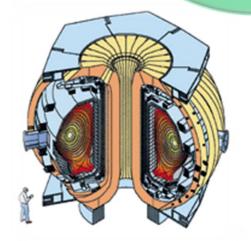
Localized heating

Active pumping

Research	FY2012 Enacted	FY2013 Proposed
DIII-D	30,300	26,703
Operations	FY'12 Enacted	FY'13 Proposed
DIII-D	38,319	33,260

A leader in the transformation of fusion plasma science to a predictive enterprise

Collaboration value extremely high: universities at ground level of program planning and execution. High impact on overseas research



DIII-D research is at the heart of plasma dynamics and control: it forms the basis of many research scenarios and control tools for ITER and reactors

The Operations funding reduction will halt all major facility upgrades and defer system refurbishments, but still allow for 10 weeks of operation in FY2013.





The commitment to the National Spherical Torus Experiment Upgrade is high

Princeton Plasma Physics Laboratory Princeton, NJ

electron-scale turbulence, important for reactors is prevalent and measured in detail. Dynamo generation important for driving current and astrophysics

Plasma dynamics and control science

> reactor-regime velocity of fast ions compared to alpha-induced MHD wave speed

Fusion on earth

unity beta →
tests of physics of
astrophysical
systems

Discovery

Plasma-materials science and technology

liquid lithium wall research may yield revolutionary approach to heat flux control

NSTX compact, low aspect ratio geometry

- → candidate for materials volume neutron source
- → tests of toroidal effects on transport, waves, and MHD

High plasma pressure/magnetic pressure

- → transport important to a burning plasma is highlighted
- → Validation platform for astrophysics

NSTX Upgrade is on track for project completion on time

Research	FY2012 Enacted	FY2013 Proposed
NSTX	17,549	16,836
Operations	FY2012 Enacted	FY2013 Proposed
NSTX	15,004	6,593*
Construction Projects	FY2012 Enacted	FY2013 Proposed
NSTX Upgrade	17,130	22,800



Workscope of the NSTX upgrade

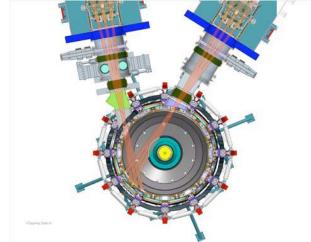
Increase toroidal field: 0.5T > 1.0T Increase plasma current: 1 MA > 2 MA Increase pulse length: 1.0 s > 5 s Increase NB heating: 5-9MW > 10-18MW

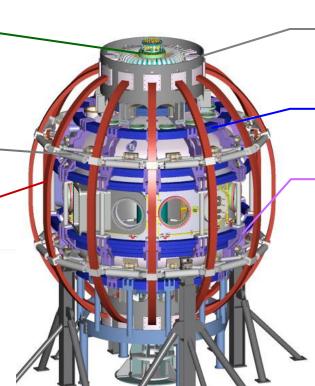
New solenoid Inner TF bundle, TF joint, OH & inner PF coils

Upgraded TF coil support structure

Existing outer TF coils

Second NBI Existing NBI





-Reinforce umbrella structure

Exst'g outer PF coils – 6 total

New PF coil support structure

Also...modify coil power system, protection system & ancillary support systems



Alcator C-Mod closes in FY2013. It has been a testbed for materials science and wave physics relevant to advanced reactor scenarios

MIT, Cambridge, MA

World-leading studies of plasma rotation in reactor-like scenarios and wave-particle physics at reactor conditions

Plasma dynamics and control science

> Identification of potentially advanced modes of ITER operation, and development of actuators for them

Fusion on earth

Discovery

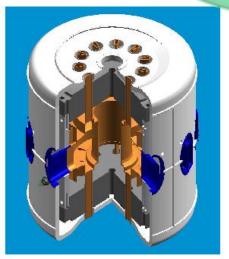
fundamentals governing plasma wave-particle interactions & flows ResearchFY2012 EnactedFY2013 ProposedAlcator C-Mod10,4548,396OperationsFY2012 EnactedFY2013 ProposedAlcator C-Mod18,0677,848

Plasmamaterials science and technology

Highest heat fluxes in the world. Metallic walls one-of-kind and reactor relevant The Alcator C-Mod facility is shut down in FY2013. No operations will be conducted and the funding will provide for the safe shutdown of the facility.

FY2013 will see analysis of data taken in FY2012 and publication the results. A transition of research staff into collaborative activities on other domestic and international experiments will begin.

FES will work with MIT regarding student impacts, enabling those in their last stage of research to finish, and supporting relocation of those in the middle of their research projects



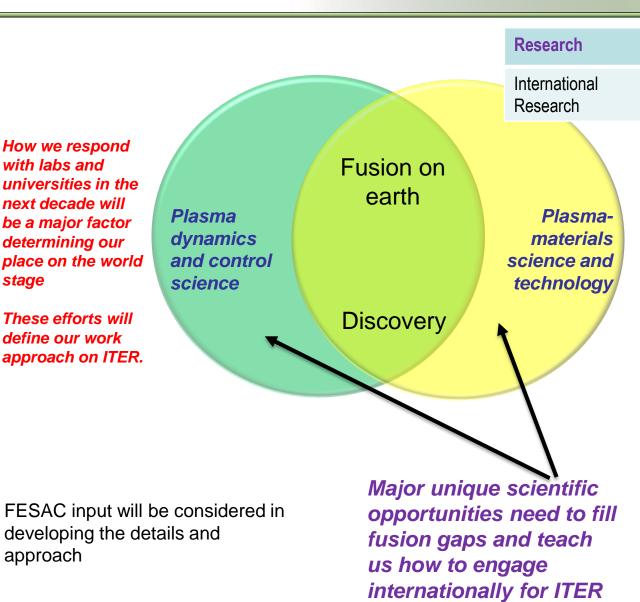




We need to grow our internationally based research efforts

FY2012 Enacted

7.435



First-of-kind superconducting tokamaks (based on U.S. designs) now in China (EAST, Hefei) and South Korea (K-STAR, Daejeon). Also superconducting stellarators (Japan and Germany). China, South Korea, and Germany have offered the U.S. a leadership seat at their program governance table.

FY2013 Proposed

8.946

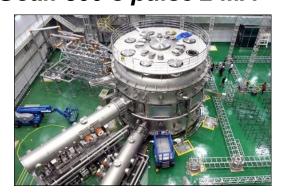
U.S. teams, formed by national labs, private industry, and universities, will participate onsite and with remote data centers

This will lead to a research team model to be implemented on ITER



Emergent opportunities for plasma control research, with superconducting magnetic technology, reside overseas

K-STAR
Daejeon, S. Korea
Goal: 300 s pulse 2 MA



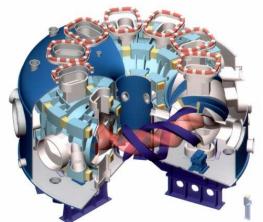


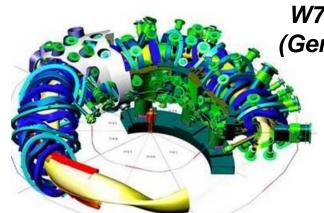
EAST Hefei, China Goal: 1000 s 1 MA



The U.S. DIII-D control system has been implemented on K-STAR and EAST devices

LHD stellarator (Japan – operating)

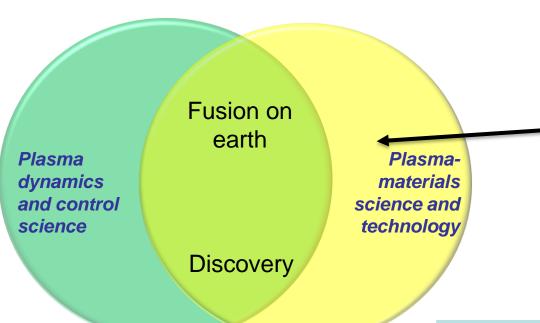




W7-X stellarator (Germany – 2014)



Enabling technologies is being directed towards a materials science emphasis



report, "Priorities, Gaps, and Opportunities (2007)" pointed to the needs and opportunities for the U.S. in fusion materials science, including closing the fuel cycle and harnessing fusion power.

A FESAC panel report at the end of February will inform FES's strategy for moving forward in this area

- An initiative in fusion materials research is proposed
- The level of support for design studies of future facilities and for the Virtual Laboratory for Technology (VLT) will be reduced.
- The level of support for advanced technologies for future facilities will be reduced.

	FY2012 Enacted	FY2013 Proposed
Plasma Technology	13,911	11,666
Advanced Design Studies	4,337	1,611
Materials Research	7,729	9,371
Total Funding, Enabling R&D	25,977	22,648



Advances in validated simulation are critical for fusion's future success

How we use validated simulation as instruments of scientific discovery is a great question for science overall.

Plasma dynamics and control science

Fusion on earth

Plasma materials science and technology

Discovery

Theory and computation is an important element of every aspect of the fusion and plasma sciences

In FY2013, the scope of the Theory program will be narrowed

How we execute our simulation efforts in terms program governance, including the relation between universities and labs, is critical.

	FY2012 Enacted	FY2013 Proposed
Theory and modelling	24,348	20,836
SciDAC	8,312	6,556

SciDAC: the scope and balance of the portfolio will be maintained, but fewer Centers may be selected for an award following the FY2012 recompetition of a significant portion of the FES SciDAC program.

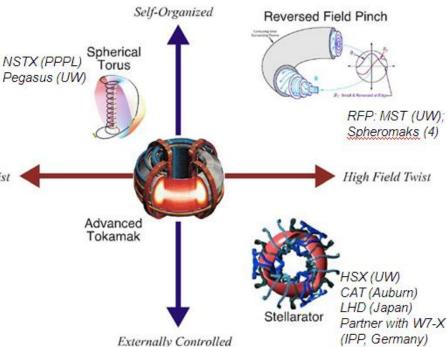


Experimental Plasma Research Portfolio and MST are nearly flat-funded

	FY'12 E	nacted	FY'13 Proposed
Madision Symmetric Torus	6,000		5,750
Experimental Plasma Research	11,000		10,500
		Self-Organized	Dayarand Field Dinah

 Maintains critical level of effort to enable connections between non-tokamak and tokamak configurations

- All concepts are smoothly connected in magnetic geometry
- alpha particle Low Field Twist
 physics studied
 on ITER can be
 bridged to
 alternate
 configurations

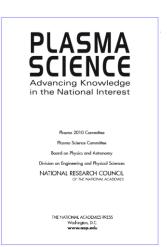


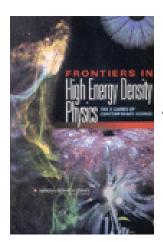
- Validation and verification emphasis maintained
- National lab/university teaming among confinement concepts is being developed to address questions of universal importance to magnetic fusion

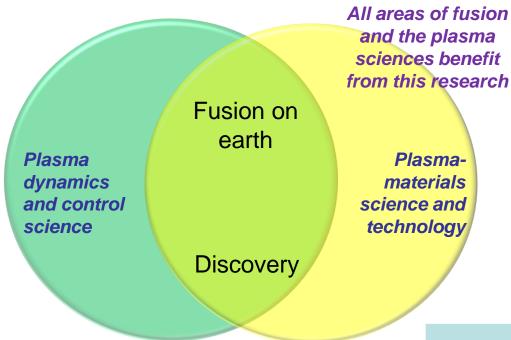
From EPAct plan



General Plasma Science and HEDLP portfolios emphasize discovery and the science of inertial fusion energy







University-national lab partnership in joint program with NSF

Joint Program with NNSA nurtures emergent field of High Energy Density Laboratory Plasma physics

FES Plasma Science Centers enable focused collaboration between universities on range of topics relevant to fundamental science and industry

HEDLP program specifics will be informed by the outcome of a competitive merit review of much of the program in FY2012 and FY2013, the forthcoming NRC Inertial Fusion Energy (IFE) study report and the Department's response to it, and programmatic priorities.

•	In GPS, commitments to NSF/DOE interagency activities
	will be maintained. Program balance of the laboratory GPS
	projects will be critically reviewed through competitive peer
	review.

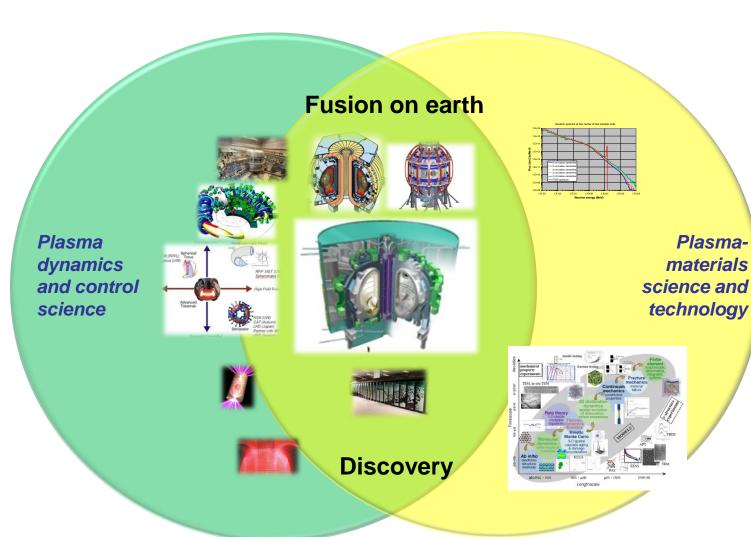
	FY2012 Enacted	FY2013 Proposed
High Energy Density Laboratory Plasma physics	24,741	16,933
	FY2012 Enacted	FY2013 Proposed
General Plasma Science	16,780	13,151



On program planning



Ultimately, the U.S. fusion's path forward will be expressed in terms of scientific elements and will include changes of emphasis



- Burning plasma science and stewarding broader plasma science will be key elements, but program scope may have to be reduced for lower funding level scenarios
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 focus towards
 challenging metrics
 relevant to fusion
 materials science
- Leverage between domestic and international research opportunities in MFE will become even more important in tough budget times, if the U.S. is to obtain access to the leading scientific questions in the next decade



FES is developing a strategic plan, to be presented to Congress in December 2012

Mandated by legislative language accompanying the FY'12 appropriation

- Technical community input is needed
- What we have in hand includes ReNeW reports, the "Priorities, Gaps, and Opportunities" analysis, and FESAC's new input on international research and fusion materials science.



On gathering input and developing the report

- FES will present a charge to FESAC to seek advice in time to be impactful. A charge or charges is/are being developed, and your thoughts on this will be welcome
- Timing: clarification on a couple of fronts will be beneficial
 - the Administration approach to ITER and the domestic program
 - the House and Senate marks this year
- Input from individuals on programmatic concerns and possible future structures is welcome at any time
- Plan will be developed by FES and shared with FESAC for comment, likely in the fall of '12

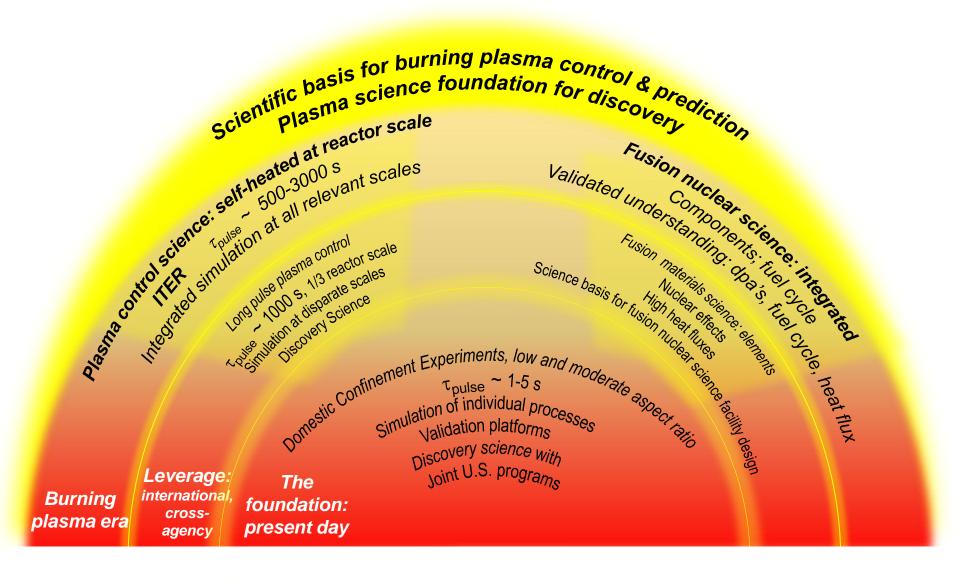


Office of In developing a fusion program strategic plan, overarching considerations will include...

- The nurturing of a domestic program that enables a high degree of leverage and influence in the world, and will engage ITER in as scientifically constructive a manner as possible, with a high return for the U.S.
- Such a program must include a vigorous international component if U.S. scientists are to have access to research questions that will remain inaccessible within the U.S. alone.
- The development of a capability to make major contributions in fusion materials science and harnessing fusion power
- A priority of maintaining program breadth if budgets permit, noting that the prospect of further non-ITER program reductions may make it impossible to maintain present program scope.



Establishing the scientific basis for fusion requires strong domestic research and leverage across national and institutional boundaries





Thank you